

Report to: Audit Committee
Date of meeting: 25 September 2013
Report of: Alan Power - Head of Finance Shared Services
Title: Internal Audit Recommendations

1.0 **SUMMARY**

1.1 This report gives details of the progress made in implementing the recommendations of the internal auditor.

2.0 **RECOMMENDATIONS**

2.1 That progress in completion of the audit plans is noted.

2.2 That progress in implementing the internal auditor's recommendations is noted.

Contact Officer:

For further information on this report please contact: -
Alan Power, Head of Finance, Shared Services
telephone extension: 7196
email: alan.power@watford.gov.uk

Report approved by: Joanne Wagstaffe, Director of Finance

3.0 DETAILS

3.1 Details of progress against the Internal Audit Plans for 2013/14 are attached at Appendix 1. The status of completion of audits from the 2012/13 Audit Plan has been reported in the body of the SIAS Progress Report.

3.2 Appendices 2 to 5 provide information on recommendations which remain outstanding from audits carried out in 2010/11, 2011/12, 2012/13 and 2013/14 and detail only those recommendations which were not resolved at the time of the last report together with new audit reports issued since that time. New reports and new comments are shown in bold italics.

3.3 The table below summarises progress in implementation of the recommendations:

Year	Recommendations made No.	Implemented	Not yet due	Outstanding & Request made for Extended Time	Percentage implemented %
2010/11	213	208	3	2	98
2011/12	114	108	3	3	95
2012/13	49	29	6	14	59
2013/14	3	3	0	0	100

4.0 IMPLICATIONS

4.1 Financial

4.1.1 None Specific.

4.2 Legal Issues (Monitoring Officer)

4.2.1 None Specific.

4.3 Equalities

None Specific.

4.4 Potential Risks

There are no risks associated with the decisions members are being asked to make.

APPENDICES

- Appendix 1 Shared Internal Audit Service Progress Report
- Appendix 2 Progress on recommendations 2010-11
- Appendix 3 Progress on recommendations 2011-12
- Appendix 4 Progress on recommendations 2012-13
- Appendix 5 Progress on recommendations 2013-14